

**State of California
Office of Administrative Law**

In re:
California Gambling Control Commission

Regulatory Action:

Title 04, California Code of Regulations

Adopt sections:

Amend sections: 12108, 12112, 12114,
12261, 12264, 12272,
12274, 12276, 12278,
12309, 12470, 12472

Repeal sections:

**NOTICE OF APPROVAL OF EMERGENCY
REGULATORY ACTION**

**Government Code Sections 11346.1 and
11349.6**

OAL Matter Number: 2021-0927-02

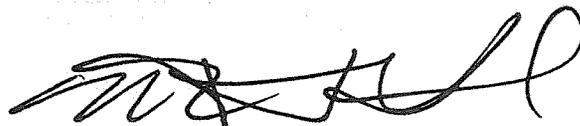
OAL Matter Type: Emergency (E)

In this emergency action, the Commission amends its regulations to update various fees for permit and license applications.

OAL approves this emergency regulatory action pursuant to sections 11346.1 and 11349.6 of the Government Code.

This emergency regulatory action is effective on 10/7/2021 and will expire on 4/6/2022. The Certificate of Compliance for this action is due no later than 4/5/2022.

Date: October 7, 2021



Thanh Huynh
Senior Attorney

For: Kenneth J. Pogue
Director

Original: Stacey Luna Baxter, Executive
Director

Copy: Alexander Hunter

EMERGENCY

For use by Secretary of State only

STD. 400 (REV. 10/2019)

OAL FILE NUMBERS	NOTICE FILE NUMBER Z-	REGULATORY ACTION NUMBER 2021-0927-02E	EMERGENCY NUMBER
For use by Office of Administrative Law (OAL) only			
NOTICE		REGULATIONS	

ENDORSED - FILED
 In the office of the Secretary of State
 of the State of California
OCT. 07. 2021.
2:22 PM

OFFICE OF ADMIN. LAW
 2021 SEP 27 PM2:45

AGENCY WITH RULEMAKING AUTHORITY
 California Gambling Control Commission

AGENCY FILE NUMBER (if any)
 CGCC-GCA-2021-05-E

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE	TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other	4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER
			PUBLICATION DATE

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Commission Fees Modernization Project	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) N/A
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2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)	ADOPT
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	AMEND
TITLE(S)	REPEAL
4	12108, 12112, 12114, 12261, 12264, 12272, 12274, 12276, 12278, 12309, 12470, and 12472

3. TYPE OF FILING	<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346) <input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4) <input checked="" type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		
	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute. <input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)		
	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h)) <input type="checkbox"/> File & Print <input type="checkbox"/> Other (Specify) _____		
	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100) <input type="checkbox"/> Print Only		

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a)) <input checked="" type="checkbox"/> Effective on filing with Secretary of State <input type="checkbox"/> \$100 Changes Without Regulatory Effect <input type="checkbox"/> Effective other (Specify) _____

6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY
<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660) <input type="checkbox"/> Fair Political Practices Commission <input type="checkbox"/> State Fire Marshal <input type="checkbox"/> Other (Specify) _____

7. CONTACT PERSON Alex Hunter	TELEPHONE NUMBER (916) 263-1301	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional) ahunter@cgcc.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE <i>Stacey Luna Baxter</i>	DESIGNEE <small>Use only if designee is not the Secretary of State. Use only if designee is not the Secretary of State. Use only if designee is not the Secretary of State. Use only if designee is not the Secretary of State. Use only if designee is not the Secretary of State.</small>	DATE September 27, 2021
TYPED NAME AND TITLE OF SIGNATORY Stacey Luna Baxter, Executive Director		

For use by Office of Administrative Law (OAL) only

ENDORSED APPROVED

OCT 07 2021

Office of Administrative Law

1 CALIFORNIA GAMBLING CONTROL COMMISSION
2 SPECIFIC LANGUAGE OF PROPOSED REGULATIONS
3 COMMISSION FEES MODERNIZATION
4 CGCC-GCA-2021-05-E
5

6 California Code of Regulations
7 Title 4. Business Regulations.
8 Division 18. California Gambling Control Commission.
9

10 CHAPTER 2. LICENSES AND WORK PERMITS

11 ARTICLE 1. DEFINITIONS AND GENERAL PROVISIONS
12

13 § 12108. Replacement of a Badge.

14 (a) The Bureau will provide a replacement badge to a licensee if all of the following conditions are
15 met:

16 ...

17 (2) A completed Badge Replacement Request, CGCC-CH2-01 (~~New 05/20~~Rev. 09/21), which is
18 attached in Appendix A to this chapter, is submitted.

19 ...

20 Note: Authority cited: Sections 19811, 19823, 19824, 19826, 19827, 19840, 19841, 19854, 19912 and 19984,
21 Business and Professions Code. Reference: 19801, 19824, 19826, 19854 and 19984, Business and Professions Code.
22

23 ARTICLE 2. INITIAL AND RENEWAL LICENSES AND WORK PERMITS
24

25 § 12112. Initial License Applications; Required Forms.

26 A person applying for Commission approval must submit the following to the Bureau:

27 (a) A completed Application for Employee Category License, CGCC-CH2-04 (~~New 05/20~~Rev.
28 09/21) or Application for Owner Category License, CGCC-CH2-05 (Rev. ~~01/21~~09/21), which are
29 attached in Appendix A to this chapter.

30 ...

31 Note: Authority cited: Sections 19811, 19824, 19840, 19841, 19850, 19912 and 19984, Business and Professions
32 Code. Reference: Sections 19801, 19811, 19824, 19826, 19841, 19850, 19851, 19852, 19855, 19864, 19865, 19866,
33 19867, 19868, 19878, 19880(d), 19883, 19890(e), 19893, 19912, 19951, 19982 and 19984, Business and
34 Professions Code.

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§ 12114. Renewal License Applications; Required Forms.

- ...
- (c) For the purposes of this section, a "complete application" must consist of all of the following:
- (1) A completed Application for Employee Category License, CGCC-CH2-04 (~~New 05/20~~Rev. 09/21) or Application for Owner Category License, CGCC-CH2-05 (Rev. ~~01/21~~09/21), as referred to in paragraph (1) of subsection (a) of Section 12112;
 - ...
 - (5) If the application is an Application for Owner Category License, CGCC-CH2-05 (Rev. ~~01/21~~09/21), then a completed copy of the Spousal Information, CGCC-CH2-12 (New 05/20).

Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19850, 19851, 19854, 19951 and 19984, Business and Professions Code. Reference: Sections 19811, 19823, 19824, 19826, 19841, 19850, 19851, 19852, 19854, 19855, 19856, 19857, 19864, 19865, 19866, 19867, 19868, 19876, 19912, 19951 and 19984, Business and Professions Code.

CHAPTER 3. CONDITIONS OF OPERATION FOR TPPPS BUSINESSES

ARTICLE 2. PLAYING BOOKS

§ 12261. Review of Playing Book Forms.

- ...
- (b) To request the review of a new or amended hardcopy playing book, the form Application for Playing Book Approval, ~~BGC APP 036 (Rev. 1/18)~~CGCC-CH3-01 (Rev. 09/21), attached in Appendix A to this chapter, must be completed and submitted to the Bureau along with the following:
- (1) An initial or amendment application processing fee of \$75.

Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19826, 19841 and 19984, Business and Professions Code.

§ 12264. Review and Certification of Electronic Playing Book Systems.

- (a) Each electronic playing book system requires prior review and approval by the Bureau. To request review of the electronic playing book system, the form Application for Playing Book Approval, CGCC-CH3-01 (~~New 05/20~~Rev. 09/21), referenced in subsection (b) of Section 12261, must be completed and submitted to the Bureau along with the following:
- (1) An initial application processing fee of \$1200 or an amendment application processing fee of \$94 per system, as applicable.

Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19826, 19841 and 19984, Business and Professions Code.

1
2 **ARTICLE 3. TPPPS CONTRACTS**
3

4 **§ 12272. Review and Approval of TPPPS Contracts.**

5 (a)(1) Proposition player services must not be provided except pursuant to a written TPPPS contract
6 approved in advance by the Bureau. Provision of proposition player services by any person subject to
7 licensing under Chapter 2, or engagement of proposition player services by a cardroom owner type
8 licensee, without a TPPPS contract as required by this section is a violation of this section. The Bureau
9 must approve a TPPPS contract only if all the following requirements have been satisfied:

10 ...

11 (2) A complete application for TPPPS contract approval must include all of the following:

12 (A) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-
13 CH3-02 (~~New 05/20~~Rev. 09/21) referenced in paragraph (2).

14 ...

15 (D) A ~~\$1000~~\$57 nonrefundable application fee.

16 ...

17 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections
18 19951 and 19984, Business and Professions Code.

19
20 **§ 12274. Expedited Review and Approval of TPPPS Contracts.**

21 ...

22 (c) The Bureau will complete the expedited review and approval of a TPPPS contract within five (5)
23 business days of receiving all of the following:

24 (1) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-
25 CH3-02 (~~New 05/20~~Rev. 09/21), referenced paragraph (2) of subsection (a) of Section 12272.

26 ...

27 (4) A ~~\$1000~~\$57 nonrefundable application fee.

28 ...

29 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections
30 19951 and 19984, Business and Professions Code.

31
32 **§ 12276. Review and Approval of Amendments to TPPPS Contracts.**

33 (a) Requests to review and approve an amendment to a TPPPS contract must be submitted with an
34 application for approval [see Section 12272 (a)(~~3~~2)(A)] along with an executed copy of the TPPPS
35 contract, a ~~five hundred dollar (\$500)~~\$57 nonrefundable application fee, and a deposit as required by
36 Title 11, California Code of Regulations, Section 2037. The Bureau may require an additional sum to be
37 deposited to pay the final costs of the review and approval or disapproval of the amendment. Any money

Additions shown in underline; deletions shown in ~~strikeout~~.

Revision Date: August 30, 2021

1 received as a deposit in excess of the costs incurred in the review and approval or disapproval of the
2 amendment must be refunded and an itemized accounting must be provided to the TPPPS business
3 licensee or the TPPPS business licensee's designee.

4 ...

5 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections
6 19951 and 19984, Business and Professions Code.

7

8 **§ 12278. Extension of TPPPS Contracts.**

9 (a) An application for approval of a contract to continue proposition player services must include all
10 of the following:

11 (1) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-
12 CH3-02 (~~New 05/20~~Rev. 09/21), referenced in paragraph (2) of subsection (a) of Section 12272.

13 (2) A ~~\$1000~~\$57 application fee.

14 ...

15 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections
16 19951 and 19984, Business and Professions Code.

17

18 **CHAPTER 4. MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT**

19 **§ 12309. Forms; Fees.**

20 (a) Applications for registration under Section 12301(b) must be submitted on the Application for
21 Registration of Manufacturers or Distributors of Gambling Equipment BGC-025 (Rev. 07/17~~09/21~~),
22 which is hereby attached in Appendix A to this chapter.

23 ...

24 (c) For a gambling equipment manufacturer or distributor registration, the fee is as follows:

25 (1) For an initial and renewal registration as a Class A equipment manufacturer or distributor, the fee
26 is ~~\$500~~\$32.

27 (2) For an initial and renewal registration as an "antique collector," within the meaning of paragraph
28 (1) of subsection (b) of Section 12300 and subparagraph (B) of paragraph (10) of subsection (b) of
29 Section 12301, the fee is ~~\$40~~\$32.

30 ...

31 Note: Authority cited: Sections 19823, 19824, 19840, 19841(r) and 19864, Business and Professions Code.
32 Reference: Sections 19841(r) and 19951(a), Business and Professions Code; Section 2015.5, Code of Civil
33 Procedure; Section 330.8, Penal Code; and Chapter 24 (commencing with Section 1171) of Title 15 of the United
34 States Code.

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CHAPTER 7. CONDITIONS OF OPERATION FOR GAMBLING ESTABLISHMENTS

ARTICLE 10. GAMING TABLES

§ 12470. Request for Additional Temporary Tables for Tournaments or Special Events.

(a) A cardroom business licensee may apply to operate, on a limited and temporary basis, for a tournament or special event (hereinafter, event), more tables than the gambling establishment is authorized to regularly operate. To apply for additional tables, the applicant must submit to the Bureau, no less than 45 business days prior to the event, the following for each event:

...

(2) A non-refundable application fee of \$500-\$164 plus a Bureau review deposit pursuant to Title 11, Cal Code Regs., Section 2037, made payable to the Bureau of Gambling Control.

...

Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841(a), 19841(b), 19841(c), 19841(p), 19864, 19950(b) and 19952, Business and Professions Code. Reference: Section 19951, Business and Professions Code.

§ 12472. Request for Additional Permanent Tables.

(a) The cardroom business licensee may apply to operate additional tables on a permanent basis by submitting the following to the Bureau:

...

(2) A non-refundable application fee of \$500-\$164 plus a Bureau review deposit pursuant to Title 11, Cal Code Regs., Section 2037, made payable to the Bureau of Gambling Control.

...

Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19864, 19950(b) and 19951, Business and Professions Code. Reference: Section 19951, Business and Professions Code.

///

///

CHAPTER 2

APPENDIX A

Additions shown in underline; deletions shown in ~~strikeout~~.

Badge Replacement Request

CGCC-CH2-01 (New 05/20 Rev. 09/21)

Page 1 of 1

BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE TO:
 BUREAU OF GAMBLING CONTROL
 P.O. Box 168024
 Sacramento, CA 95816-8024
 (916) 830-1700

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant. **A replacement badge fee of \$25-\$8 is required for all license types.**

SECTION 1: PERSONAL INFORMATION		
FULL NAME: LAST	FIRST	MIDDLE

SECTION 2: REPLACEMENT INFORMATION	
A) TYPE OF APPROVAL Provide one of the following:	LICENSE NUMBER
<input type="checkbox"/> Cardroom Endorsee License (natural person only)	<input type="checkbox"/> Key Employee License
<input type="checkbox"/> TPPPS Endorsee License (natural person only)	<input type="checkbox"/> TPPPS Supervisor License
<input type="checkbox"/> Commission Work Permit	
<input type="checkbox"/> TPPPS Worker License	
B) PURPOSE OF REQUEST	
BADGE WAS:	
<input type="checkbox"/> LOST <input type="checkbox"/> STOLEN <input type="checkbox"/> DAMAGED	
<input type="checkbox"/> CHANGE OF NAME:	
FORMER NAME: _____	
NEW NAME: _____	
INCLUDE ONE OF THE FOLLOWING:	
<ul style="list-style-type: none"> • COPY OF MARRIAGE CERTIFICATE • COPY OF COURT DOCUMENT AUTHORIZING LEGAL NAME CHANGE • CLEAR COPY OF DRIVER'S LICENSE 	

SECTION 3: DECLARATION		
I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at _____		
City and State		
PRINTED NAME	SIGNATURE	DATE (MM/DD/YYYY)

This form must be signed by the badge recipient.

Application for Employee Category License

CGCC-CH2-04 (New 06/20 Rev. 09/21)

Page 1 of 3

California Gambling Control Commission

BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE TO:

BUREAU OF GAMBLING CONTROL

P.O. Box 168024

Sacramento, CA 95816-8024

(916) 830-1700

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is used to provide information for individuals required to apply for an Employee Category License.

All responses must be truthful and complete. All responses are subject to verification and will be used to determine suitability under gambling laws and regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee. The burden of proving his/her qualifications to receive an Employee Category License is on the applicant.

An applicant may be subject to administrative action for failing to provide all information, documentation, and assurances as required by the Act or requested by the California Gambling Control Commission (Commission) or the Bureau of Gambling Control (Bureau), or failing to reveal any material facts, or providing misleading or untrue information as to a material fact.

By filing an application, an applicant understands that pursuant to Business and Professions Code section 19828, the Bureau or Commission may make public any communication or publication from, or concerning an applicant's application or corresponding background investigation. By submitting this application, an applicant accepts any risks of adverse action, financial loss, or public notice which may result from any Commission or Bureau action taken with respect to the application, as the action is absolutely privileged and so shall not form a basis for imposing liability for defamation or constitute a ground for recovery in any civil action consistent with Business and Professions Code section 19828.

An applicant may request an application be withdrawn pursuant to Title 4, Cal. Code Regs., Section 12015.

It is the responsibility of each applicant to obtain copies of, and be familiar with, the laws and regulations governing the applicant's Employee Category License. As an applicant, it is your responsibility to ensure that you thoroughly understand the questions in this application. If you do not understand any question(s), it is your responsibility to obtain appropriate, competent assistance in order to fully and accurately complete the application.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

Applicant's Full Name

Associated Owner Category Licensee

Date of Photograph

**Affix a passport quality
photography taken
within the last 30
calendar days here.**

**PLEASE PRINT NAME
ON BACK OF
PHOTOGRAPH**

SECTION 1: PERSONAL INFORMATION		
FULL NAME: LAST	FIRST	MIDDLE

SECTION 2: APPLICATION

A) TYPE OF APPLICATION (CHECK APPROPRIATE BOX)
--

<input type="checkbox"/> KEY EMPLOYEE LICENSE	<input type="checkbox"/> TPPPS SUPERVISOR LICENSE
<input type="checkbox"/> COMMISSION WORK PERMIT	<input type="checkbox"/> TPPPS WORKER LICENSE

B) SELECT IF THIS IS AN APPLICATION FOR AN INITIAL OR RENEWAL LICENSE (CHECK APPROPRIATE BOX)

<input type="checkbox"/> INITIAL APPLICATION <ul style="list-style-type: none"> • <u>Key Employee License or TPPPS Supervisor License, an application fee of \$750164</u> • <u>TPPPS Worker License, an application fee of \$500164</u> • <u>Commission Work Permit, an application fee of \$250164</u> 	<input type="checkbox"/> RENEWAL APPLICATION <ul style="list-style-type: none"> • <u>Key Employee License or TPPPS Supervisor License, an application fee of \$750164</u> • <u>TPPPS Worker License, an application fee of \$500164</u> • <u>Commission Work Permit, an application fee of \$250164</u>
<input type="checkbox"/> ADDITIONAL REQUEST FOR A TEMPORARY LICENSE Include additional temporary license fee of \$254.	

NOTE: INITIAL APPLICANTS DO NOT COMPLETE SECTION 3.

C) JOB INFORMATION

JOB TITLE
JOB DESCRIPTION

SECTION 3: RENEWAL INFORMATION

Complete this section only for a renewal application. If you answer "YES" to any of the questions below, please provide a detailed explanation for each item marked "YES" on a separate sheet of paper and attach to the application.

ALL APPLICANTS	
1. Have you been named in any administrative action affecting any license certification since last filing a license or Commission work permit application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
2. Have you been convicted of any crime (misdemeanor or felony) since last filing a license or Commission work permit application? Note: It is your responsibility to verify the circumstances and status of all crimes and you should err on the side of disclosure as failing to disclose a conviction can weigh against your application being approved.	<input type="checkbox"/> YES <input type="checkbox"/> NO
KEY EMPLOYEE OR TPPPS SUPERVISOR	
3. Have you been a party to any civil litigation since last filing a license or Commission work permit application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
4. Have you acquired or increased your financial interest in a business that conducts lawful gambling outside the State since last filing a license or Commission work permit application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
5. Have you entered into any new agreements since last applying for a license? If yes, attach a list of agreements including the amount and all contracting parties.	<input type="checkbox"/> YES <input type="checkbox"/> NO

SECTION 4: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS **MUST** BE SUBMITTED, AS APPLICABLE, WITH THIS COMPLETED FORM. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. ONLY DOCUMENTS THAT ARE DATED AND SIGNED BY THE APPLICANT WILL BE ACCEPTED. FAILURE TO PROVIDE REQUIRED ITEMS MAY RESULT IN DENIAL OF YOUR APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (a), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND COMPLETED AND RECEIVED BY THE STATE. MARK THE BOX NEXT TO EACH ATTACHED ITEM.

COMPLETED REQUEST FOR LIVE SCAN SERVICE (BCIA 0816), INCLUDING THE ATI NUMBER

AUTHORIZATION TO RELEASE INFORMATION [CGCC-CH2-13 (New 05/20)] – PROVIDE ORIGINAL

INITIAL APPLICANTS MUST ALSO ATTACH A COMPLETED SUPPLEMENTAL BACKGROUND INFORMATION FORM, AS INDICATED BELOW:
Key Employee License and TPPPS Supervisor License: Key Employee or TPPPS Supervisor: Supplemental Information, CGCC-CH2-08 (New 05/20)
Commission Work Permit and TPPPS Worker License: Commission Work Permit or TPPPS Worker: Supplemental Information, CGCC-CH2-10 (New 05/20)

SECTION 5: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at _____

City and State

PRINTED NAME

SIGNATURE

DATE (MM/DD/YYYY)

This form must be signed by the applicant.

Application for Owner Category License

CGCC-CH2-05 (Rev. 04/24/09/21)

Page 1 of 4

BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE/DEPOSIT TO:
BUREAU OF GAMBLING CONTROL
 P.O. Box 168024
 Sacramento, CA 95816-8024
 (916) 830-1700

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is used to provide information for individuals required to apply as an Owner Category Licensee as defined by the Gambling Control Act (Act) and/or implementing administrative regulations, as applicable.

All responses must be truthful and complete. All responses are subject to verification and will be used to determine suitability under gambling laws and regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee. The burden of proving his/her qualifications to receive a license is on the applicant.

An applicant may be subject to administrative action for failing to provide all information, documentation, and assurances as required by the Act or requested by the California Gambling Control Commission (Commission) or the Bureau of Gambling Control (Bureau), or failing to reveal any material facts, or providing misleading or untrue information as to a material fact.

By filing an application, an applicant understands that pursuant to Business and Professions Code section 19828, the Bureau or Commission may make public any communication or publication from, or concerning an applicant's application or corresponding background investigation. By submitting this application, an applicant accepts any risks of adverse action, financial loss, or public notice which may result from any Commission or Bureau action taken with respect to the application, as the action is absolutely privileged and so shall not form a basis for imposing liability for defamation or constitute a ground for recovery in any civil action consistent with Business and Professions Code section 19828.

An applicant may request an application be withdrawn pursuant to Title 4, California Code of Regulations, Section 12015.

It is the responsibility of each applicant to obtain copies of, and be familiar with, the laws and regulations governing the applicant's license. As an applicant, it is your responsibility to ensure that you thoroughly understand the questions in this application. If you do not understand any question(s), it is your responsibility to obtain appropriate, competent assistance in order to fully and accurately complete the application.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

Applicant's Full Name

Associated Owner Category Licensee

Date of Photograph

**Affix a passport quality
 photography taken
 within the last 30
 calendar days here.**

**PLEASE PRINT NAME
 ON BACK OF
 PHOTOGRAPH**

SECTION 1: APPLICATION

A) TYPE OF APPLICATION (CHECK APPROPRIATE BOX)

CARDROOM BUSINESS LICENSE
The sole proprietor, LLC, corporation, partnership, trust, or business entity that operates a gambling establishment

TPPPS BUSINESS LICENSE
The sole proprietor, LLC, corporation, partnership, trust, or other business entity that proposes to provide third-party proposition services as an independent contractor in a gambling establishment.

CARDROOM ENDORSEE LICENSE
An endorsed licensee is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source.

TPPPS ENDORSEE LICENSE
An owner is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source.

B) SELECT IF THIS IS AN APPLICATION FOR AN INITIAL OR RENEWAL LICENSE (CHECK APPROPRIATE BOX)

INITIAL APPLICATION

- \$1000-164 Application Fee
- Cardroom business licensee, an amount determined by the schedule in subdivision (c) of Business and Profession Code section 19951 unless the application is due to a change in the ownership structure of a currently licensed gambling establishment

INITIAL APPLICATION WITH REQUEST FOR TEMPORARY LICENSE

- \$1000-164 Application Fee
- Cardroom business licensee, an amount determined by the schedule in subdivision (c) of Business and Profession Code section 19951 unless the application is due to a change in the ownership structure of a currently licensed gambling establishment
- Include additional temporary license fee of \$25.

RENEWAL APPLICATION

- \$1000-164 Application Fee
- Cardroom business licensee, Cardroom endorsee licensee, Cardroom business licensee, or Cardroom endorsee licensee, a delinquency fee of \$1000-164, if applicable
- Cardroom business licensee, a background investigation deposit required in Title 11, Cal. Code Regs., Section 2037

BADGE REPLACEMENT REQUEST (AS NEEDED) REQUIRED

- \$25 Application Fee

BADGE REQUIRED

- \$25 Application Fee

BADGE REQUIRED

- \$25 Application Fee

NOTE: INITIAL APPLICANTS AND INITIAL APPLICANTS WITH REQUEST FOR TEMPORARY LICENSE DO NOT COMPLETE SECTION 2.

SECTION 2: RENEWAL INFORMATION

Complete this section only for a renewal application. If you answer "YES" to any of the questions below, please provide a detailed explanation for each item marked "YES" on a separate sheet of paper and attach in the application.

ALL APPLICANTS	
1. Have you been a party to any civil litigation since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
2. Have you been named in any administrative action affecting any license certification since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
3. Have you been convicted of any crime (misdemeanor or felony) since last filing a license or Commission work permit application? Note: It is your responsibility to verify the circumstances and status of all crimes and you should err on the side of disclosure as failing to disclose a conviction can weigh against your application being approved.	<input type="checkbox"/> YES <input type="checkbox"/> NO
4. Have you acquired or increased your financial interest in a business that conducts lawful gambling outside the State since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
5. Have you transferred any ownership interest to any individual or into a Trust since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
6. Do you have a financial interest in the cannabis industry? If yes, answer question 7.	<input type="checkbox"/> YES <input type="checkbox"/> NO
7. If the answer to Question 6 was yes, do you currently have or do you intend to acquire a license or permit in the cannabis industry?	<input type="checkbox"/> YES <input type="checkbox"/> NO
CARDROOM BUSINESS LICENSEE OR TPPPS BUSINESS LICENSEE	
8. Have there been any changes affecting ownership or controlling interest in this business since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
9. Have there been any changes affecting ownership or controlling interest in any entity that is endorsed upon the license since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
10. Has there been any newly acquired or increase to any financial interest in a business that conducts lawful gambling outside the State since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
CARDROOM BUSINESS LICENSEE	
11. Has there been any change to the terms (financial or otherwise) of the business' lease or a change of landlord since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
TRUST	
12. Has there been any amendment to any trust documents or any changes to a beneficiary, trustee, or trust asset since last filing a license application?	<input type="checkbox"/> YES <input type="checkbox"/> NO

SECTION 3: CARDROOM BUSINESS LICENSE OPERATIONS
Complete this section only for a cardroom business licensee.

<input type="checkbox"/>	GAMING HOURS 24 HOURS/365 DAYS OR:	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	OPENING TIME							
	CLOSING TIME							
<input type="checkbox"/>	BUSINESS OFFICE HOURS SAME AS GAMING HOURS OR:	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	OPENING TIME							
	CLOSING TIME							

NUMBER OF PERMANENT TABLES OPERATING OR TO BE OPERATED IN THE GAMBLING ESTABLISHMENT:

NAME OF PROPOSED GAMES

INDICATE ENDORSED OWNERS

Identify all individual officers (President, Secretary, Treasurer, and Chief Financial Officer), directors, shareholders, partners, members, etc. of the entity. For Trusts, identify the Trustor and any Trustees. For officers and directors of corporations with no ownership interest, enter 0% in the ownership column. If a section does not apply, write "N/A" (not applicable). If additional space is needed, please use separate sheets of paper.

Entity /Individual's Name	Title	Ownership /Membership Interest Percentage	Compensation Arrangement (salary, hourly wage, incentives, bonuses, etc.)
		%	
		%	
		%	
		%	
		%	

SECTION 4: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS MUST BE SUBMITTED, AS APPLICABLE, WITH THIS COMPLETED FORM. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. ONLY DOCUMENTS THAT ARE DATED AND SIGNED BY THE APPLICANT WILL BE ACCEPTED. FAILURE TO PROVIDE REQUIRED ITEMS MAY RESULT IN DENIAL OF YOUR APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (a), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND RECEIVED BY THE STATE.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

COMPLETED REQUEST FOR LIVE SCAN SERVICE (BCIA 0816), INCLUDING THE ATI NUMBER

AUTHORIZATION TO RELEASE INFORMATION [CGCC-CH2-13 (New 05/20)] – PROVIDE ORIGINAL

NOTE: INITIAL APPLICANTS MUST ALSO ATTACH A COMPLETED SUPPLEMENTAL BACKGROUND INFORMATION FORM, AS INDICATED BELOW:

Cardroom business licensee or TPPPS business licensee: Business Entity: Supplemental Information, CGCC-CH2-06 (Rev. 01/21) in addition to any other form required below

Individual Applicants: Individual Owner/Principal: Supplemental Information, CGCC-CH2-07 (Rev. 01/21)

Entity Applicants: Business Entity: Supplemental Information, CGCC-CH2-06 (Rev. 01/21)

*Trust Applicants: Trust: Supplemental Information, CGCC-CH2-09 (Rev. 01/21)

*Current beneficiaries do not need to submit an application if the beneficiary is less than 21 years of age. Contingent beneficiaries do not need to submit an application if benefits are contingent upon a specific future event or circumstance.

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

SECTION 5: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at _____

City and State

PRINTED NAME

SIGNATURE

DATE (MM/DD/YYYY)

This form must be signed by the appropriate person identified below:

- *If applicant is a corporation, LLC, or joint venture then by an authorized officer.*
- *If applicant is a general partnership or limited partnership then by an authorized partner.*
- *If applicant is a sole proprietor then by the owner.*
- *If applicant is a trust then by an authorized trustor or trustee.*
- *If applicant is a natural person then by the applicant/.*

CHAPTER 3

APPENDIX A

Additions shown in underline; deletions shown in ~~strikeout~~.

Application for Playing Book Approval

BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE TO:
 BUREAU OF GAMBLING CONTROL
 P.O. Box 168024
 Sacramento, CA 95816-8024
 (916) 830-1700

COMMERCIAL/EXPRESS DELIVERIES ONLY
 BUREAU OF GAMBLING CONTROL
 ATTN: THIRD-PARTY PROVIDER UNIT
 2450 Del Paso Road, Suite 100
 Sacramento, CA 95834

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section number. Please review California Code of Regulations, Title 4, Sections 12250 to 12290, prior to submitting a playing book for approval. Failure to provide the requested information may result in the abandonment or denial of this application.

Enclose a check or money order made payable to: Bureau of Gambling Control

SECTION 1: TYPE OF FORM SUBMITTED (check one box and submit the additional required items)	
<input type="checkbox"/> HARD COPY PLAYING BOOK FORM (\$75) COMPLETE SECTIONS 2, 3, 7 & 8	<input type="checkbox"/> ELECTRONIC PLAYING BOOK SYSTEM (\$1200) COMPLETE SECTIONS 2, 3, 4, 5, 7 & 8

SECTION 2: TYPE OF APPROVAL REQUESTED (check one box)	
<input type="checkbox"/> INITIAL	<input type="checkbox"/> AMENDMENT IN ADDITION TO THE SECTIONS IDENTIFIED ABOVE, COMPLETE SECTION 6

SECTION 3: TPPPS BUSINESS LICENSEE INFORMATION	
FULL NAME OF TPPPS BUSINESS LICENSEE (BUSINESS ENTITY OR SOLE PROPRIETOR)	LICENSE NUMBER

SECTION 4: INFORMATION TECHNOLOGY TECHNICIAN CONTACT INFORMATION (Must be licensed pursuant to CCR §12260(f))			
LAST NAME		FIRST NAME	LICENSE NUMBER
MAILING ADDRESS (NUMBER/STREET/SUITE)		CITY	STATE ZIP CODE
TELEPHONE NUMBER	FAX NUMBER	EMAIL ADDRESS	

SECTION 5: DESCRIBE HOW THE SIGNATURE WILL BE INDICATED (FOR ELECTRONIC PLAYING BOOK SYSTEMS ONLY)

**SECTION 6: BRIEFLY EXPLAIN THE REASON FOR THE AMENDMENT AND IDENTIFY THE CHANGES
(FOR AMENDMENTS ONLY)**

SECTION 7: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS MUST BE SUBMITTED WITH THIS COMPLETED FORM, AS APPLICABLE. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN THE ABANDONMENT OR DENIAL OF YOUR APPLICATION.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

APPLICATION FEE:

- INITIAL HARD COPY PLAYING BOOK FORM: \$75 INITIAL ELECTRONIC PLAYING BOOK SYSTEM: \$1200
 AMENDMENT TO HARD COPY PLAYING BOOK FORM: \$75 AMENDMENT TO ELECTRONIC PLAYING BOOK SYSTEM: \$94

FOR HARD COPY PLAYING BOOK FORM:

- SAMPLE PLAYING BOOK FORM

FOR ELECTRONIC PLAYING BOOK SYSTEM:

- SCREEN SHOTS OR PICTURES OF THE FORM AS IT APPEARS ON THE DEVICE
 PRINTED PLAYING BOOK FORM
 CERTIFICATION FROM AN INDEPENDENT GAMING TESTING LABORATORY
 CHART OF SYSTEM ACCESS
 WRITTEN SUMMARY OF THE DESIGN AND OPERATION OF THE SYSTEM

- AT LEAST ONE OF THE FOLLOWING: A VIDEO OF THE SYSTEM IN OPERATION A PROTOTYPE DEVICE WITH WRITTEN INSTRUCTIONS AND NECESSARY ACCESS A LIVE DEMONSTRATION OF THE SYSTEM WILL BE PROVIDED

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

SECTION 8: SIGNATURE

PRINTED NAME SIGNATURE CAPACITY DATE (MM/DD/YYYY)

This form must be signed by the appropriate person identified below:

- *If licensee is a corporation, LLC, or joint venture then by an authorized officer.*
- *If licensee is a general partnership or limited partnership then by an authorized partner.*
- *If licensee is a sole proprietor then by the owner.*
- *If licensee is a trust then by an authorized trustor or trustee.*
- *If licensee is a natural person then by the licensee.*

**Application for Contract Approval to
Provide Proposition Player Services**

CGCC-CH3-02 (New-05/20Rev. 09/21)
Page 1 of 2

BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE TO:
BUREAU OF GAMBLING CONTROL
P.O. Box 168024
Sacramento, CA 95816-8024
(916) 830-1700

COMMERCIAL/EXPRESS DELIVERIES ONLY
BUREAU OF GAMBLING CONTROL
ATTN: THIRD-PARTY PROVIDER UNIT
2450 Del Paso Road, Suite 100
Sacramento, CA 95834

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

If a question does not apply to you, indicate with "N/A." Failure to provide the requested information may result in the abandonment or denial of this application. Any corrections, changes, or other alterations must be initialed and dated by the signatory.

The TPPPS business licensee is responsible for all costs incurred by the Bureau of Gambling Control (Bureau) while conducting the review. At the conclusion of the review, the signatory will receive an itemized accounting of all costs. Deposits received in excess of the actual costs incurred will be refunded to the applicant. A notice of contract approval will not be issued until all fees have been received.

Enclose a check or money order made payable to: Bureau of Gambling Control

SECTION 1: APPLICATION			
A) INDICATE THE TYPE OF CONTRACT APPROVAL REQUEST (CHECK APPROPRIATE BOX)			
<input type="checkbox"/> NEW	<input type="checkbox"/> EXPEDITED NEW	<input type="checkbox"/> AMENDMENT	<input type="checkbox"/> EXTENSION
B) TPPPS BUSINESS LICENSEE INFORMATION			
BUSINESS ENTITY NAME (IF APPLICABLE)			
FULL NAME(IF APPLICABLE): LAST		FIRST	MIDDLE
MAILING ADDRESS (STREET, CITY, STATE, ZIP CODE)			
TELEPHONE NUMBER	FAX NUMBER	EMAIL ADDRESS	

SECTION 2: CARDROOM BUSINESS LICENSEE INFORMATION		
BUSINESS ENTITY NAME		
MAILING ADDRESS (STREET, CITY, STATE, ZIP CODE)		
TELEPHONE NUMBER	FAX NUMBER	EMAIL ADDRESS

SECTION 3: ADDITIONAL REQUIRED ITEMS
<p>THE FOLLOWING ITEMS MUST BE SUBMITTED WITH THIS COMPLETED FORM, AS APPLICABLE. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN THE ABANDONMENT OR DENIAL OF YOUR APPLICATION.</p> <p>MARK THE BOX NEXT TO EACH ATTACHED ITEM.</p>
<p>FOR A NEW CONTRACT OR THE EXTENSION OF AN EXISTING CONTRACT:</p> <p><input type="checkbox"/> APPOINTMENT OF DESIGNATED AGENT [CGCC-CH1-04 (New 05/20)] – PROVIDE ORIGINAL</p> <p><input type="checkbox"/> EXECUTED COPY OF THE CONTRACT</p> <p><input type="checkbox"/> NON-REFUNDABLE \$1,000⁵⁷ APPLICATION FEE</p> <p><input type="checkbox"/> DEPOSIT AS REQUIRED BY TITLE 11, CCR, SECTION 2037</p>
<p>FOR AN EXPEDITED REVIEW OF NEW CONTRACT (IN ADDITION TO THOSE ITEMS REQUIRED OF NEW CONTRACTS):</p> <p><input type="checkbox"/> EXPEDITED REVIEW PROCESSING FEE OF \$150</p>
<p>FOR AN AMENDED CONTRACT:</p> <p><input type="checkbox"/> APPOINTMENT OF DESIGNATED AGENT [CGCC-CH1-04 (New 05/20)] – PROVIDE ORIGINAL</p> <p><input type="checkbox"/> EXECUTED COPY OF THE AMENDED CONTRACT</p> <p><input type="checkbox"/> NON-REFUNDABLE \$500⁵⁷ AMENDMENT APPLICATION FEE</p> <p><input type="checkbox"/> DEPOSIT AS REQUIRED BY TITLE 11, CCR, SECTION 2037</p>
<p>ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.</p>

SECTION 4: SIGNATURE			
PRINTED NAME	SIGNATURE	CAPACITY	DATE (MM/DD/YYYY)

- This form must be signed by the appropriate person identified below:*
- *If licensee is a corporation, LLC, or joint venture then by an authorized officer.*
 - *If licensee is a general partnership or limited partnership then by an authorized partner.*
 - *If licensee is a sole proprietor then by the owner.*
 - *If licensee is a trust then by an authorized trustor or trustee.*
 - *If licensee is a natural person then by the licensee.*

CHAPTER 4

APPENDIX A

Additions shown in underline; deletions shown in ~~strikeout~~.



State of California
 Department of Justice
 Bureau of Gambling Control
 (916) 830-1700

**APPLICATION FOR REGISTRATION OF
 MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT
 BGC-025 (Rev. 07/1709/21)**

Please read the instructions listed on this form. Type or print legibly in ink an answer for each question. If a question does not apply to you, please indicate with "N/A". **PLEASE SEND COMPLETED APPLICATIONS TO: Bureau of Gambling Control, P.O. Box 168024, Sacramento, CA 95816-8024**

PLEASE TYPE OR PRINT ALL INFORMATION		<input type="checkbox"/> New	<input type="checkbox"/> Renewal
PART I	Registration Type: Check One _____ Class A (include registration fee with application) _____ Class B		
	APPLICANT NAME		PHONE NUMBER ()
	PRINCIPAL PLACE OF BUSINESS ADDRESS		
	MAILING ADDRESS (IF DIFFERENT THAN BUSINESS ADDRESS)		
	FACSIMILE NUMBER ()	FEDERAL EMPLOYER IDENTIFICATION NUMBER (if any)	SOCIAL SECURITY NUMBER (if no FEIN)
	ADDITIONAL GAMBLING EQUIPMENT BUSINESS LOCATION(S) WITHIN CALIFORNIA, INCLUDING STORAGE FACILITIES (if any)		
	BUSINESS ACTIVITY STATEMENT WITH REGARDS TO GAMBLING EQUIPMENT (PLEASE MARK EACH BOX THAT APPLIES): <input type="checkbox"/> MANUFACTURING (INCLUDING THE ASSEMBLY, PRODUCTION, PROGRAMMING, OR MODIFICATION OF) <input type="checkbox"/> TESTING <input type="checkbox"/> DISTRIBUTING <input type="checkbox"/> REPAIRING <input type="checkbox"/> SELLING <input type="checkbox"/> REFURBISHING <input type="checkbox"/> LEASING <input type="checkbox"/> STORING <input type="checkbox"/> INSPECTING		
PART II	If more space is necessary or more information included with the registration, please indicate with an X in the "Additional Information Attached" box for that section. Please indicate on attached sheet(s) which information section the attachment is intended to supplement.		Additional Information Attached
	1. If applicant is a business entity: name, mailing address, phone number, facsimile number (if any), of chief executive officer, or other person designated by the entity to serve as the entity's representative.		<input type="checkbox"/>
	2. If principal place of business is located outside of California, enclose copy of current gaming licensure in the jurisdiction in which it is located to manufacture or distribute gambling equipment, or a statement that gaming licensure is not required by the jurisdiction in which it is located.		<input type="checkbox"/>
	3. Statement of registration with United States government as required by Title 15, United States Code, section 1173 or statement that registration is not required.		<input type="checkbox"/>
	4. Have you designated an agent for service of process with the California Secretary of State? If so, name of designated agent for service of process:		<input type="checkbox"/>
	5. Please answer both A and B with either yes or no: A. Do you sell, lease, inspect, test, repair, refurbish, or store only slot machines or devices which are "antique slot machines" within the definition of Penal Code 330.7? B. Are you otherwise a manufacturer or distributor as defined in 4 CCR sec. 12300(b)(7)?		Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>
6. Within my personal knowledge, the foregoing information is accurate and complete.		<input type="checkbox"/>	

PART III	I declare under penalty of perjury under the laws of the State of California that the foregoing is true, and correct.	
	Signature of Applicant's Designated Representative _____	Date _____
	Typed or Printed Name of Applicant's Designated Representative _____	
	Title _____	

REGISTRATION OF MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT INSTRUCTIONS

The regulations contained in Title 4, California Code of Regulations, sections 12300 through 12310 require manufacturers or distributors of gambling equipment to apply for registration with the Bureau of Gambling Control (Bureau).

Section 12300(b)(5) defines "gambling equipment" as follows:

"any slot machine or device as defined in section 330b or 330.1 of the Penal Code. 'Gambling Equipment' also includes (A) any essential part and (B) any inoperable slot machine or device that is substantially complete and repairable or that can be made operable with the installation of one or more essential parts. Any reference to slot machines or devices has the meaning defined in Penal Code sections 330b and 330.1."

Section 12300(b)(7) defines "manufacturer or distributor" as follows:

"any person that manufactures, including the assembly, production, programming, or modification of, distributes, sells, leases, inspects, tests, repairs, refurbishes, or stores gambling equipment in this state or for use in this state. Manufacturer or distributor includes, in addition to in-state manufacturers and distributors, persons performing these functions in a location outside of this state with respect to gambling equipment intended for operation in this state.

The application form requires the following information:

1. Registration type: Indicate Class A or Class B. [Section 12300(b)(2).]
 - a. Class B registration applies to any manufacturer or distributor that has no place of business in the State of California and that does not transport gambling equipment to a destination within the State of California, other than transportation of gambling equipment from an out-of-state location to a tribal gaming facility in this state.
 - b. All other registrations are Class A.
 2. Name, address of principal place of business (whether located within or outside California), telephone and facsimile numbers, and mailing address (if different from the address of the principal place of business).
 3. Federal Employer Identification Number ("FEIN") or Social Security Number, if no FEIN.
If you do not have an "FEIN" number, disclosure of your social security number is mandatory. Section 19841(a) of the Business and Professions Code authorizes collection of your social security number. If you fail to disclose your social security number, your application will not be processed. Your social security number will be used exclusively for identification purposes or for purposes of compliance with any judgment or order for family support in accordance with Section 17520 of the Family Code.
- Effective July 1, 2012, the Commission is required to deny an application and to suspend the license/registration/permit/ approval of any applicant or licensee who has outstanding state tax obligations and appears on either the Franchise Tax Board's or Board of Equalization's certified list of the top 500 tax delinquencies over \$100,000 (Revenue and Taxation Code section 494.5).*
4. Gambling equipment business location(s) within California, including storage facilities (if applicable).

5. **Part I. Business Activity Statement** - A description of the types of gambling equipment businesses conducted by the applicant: manufacturing (i.e., assembly, production, programming, or modification), distributing, selling, leasing, inspecting, repairing, or storing. Indicate all categories that apply.
6. If the applicant is a business entity, the name, mailing address, voice telephone number, and facsimile telephone number (if any) of the chief executive officer, or other person designated by the entity to serve as the entity's representative.
7. If the applicant's principal place of business is located outside of this state, provide a copy or other evidence of current gaming licensure in the jurisdiction in which the applicant is located to manufacture or distribute gambling equipment, or submit a statement that gaming licensure is not required by the jurisdiction in which the applicant is located.
8. A copy of the applicant's current registration with the United States Attorney General pursuant to the Gambling Devices Act of 1962 (the Johnson Act), Title 15 United States Code section 1173, if registered. If not registered, include a statement that the applicant is not required to register under the Gambling Devices Act of 1962, Title 15 United States Code section 1173.
9. If an agent for service of process has been designated with the California Secretary of State, indicate the name of that designated agent. [California Corporations Code section 1505.] (An agent for service of process is a person authorized to receive the summons used to initiate a legal action).
10. Signature of the applicant's designated representative. (This individual would be the person designated to represent the entity for the purpose of applying for registration.)
11. Except as noted below, a non-refundable \$500~~32~~ application fee for Class A registration. A nonrefundable application fee of forty~~thirty-two~~ dollars (\$40~~32~~) shall be submitted by any manufacturer or distributor applying for Class A registration that sells, leases, inspects, tests, repairs, refurbishes, or stores ONLY slot machines or devices which are "antique slot machines" within the meaning of Penal Code section 330.7, provided that this provision does not apply to a person that is otherwise a manufacturer or distributor. (Section 12301(b)(10)(B).)

The information can be *mailed* to:

For Regular Mail Delivery:

Bureau of Gambling Control
P.O. Box 168024
Sacramento, CA 95816-8024

For Commercial/Personal Delivery:

Bureau of Gambling Control
2450 Del Paso Road, Suite 100
Sacramento, CA 95834

The Commission strongly encourages all manufacturers and distributors to read the regulations published in the California Code of Regulations: Title 4, sections 12300 through 12310. The text of the regulations may also be found on the Commission's website: www.cgcc.ca.gov, under "Laws and Regulations."